

## REQUEST FOR PROPOSALS

<b>Procurement Number:</b>	EURASIA2022U15o
<b>Open Date:</b>	12/07/2022
<b>Questions Deadline:</b>	12/14/2022
<b>Closing Deadline:</b>	12/17/2022
<b>Geographical Area Restrictions:</b>	110 and 937
<b>Point of Contact:</b>	Lesia Khomenko; lkhomenko@iri.org

### Background

The International Republican Institute (IRI) is a nonprofit, nonpartisan, organization dedicated to advancing freedom and democracy worldwide. Since 1983, IRI has worked to develop democratic institutions and ideals, carrying out a variety of international programs to promote freedom, self-government, and the rule of law worldwide. IRI provides technical assistance in the areas of political party strengthening, developing civic institutions and open elections, promoting democratic governance, and advancing the rule of law.

IRI is implementing a CEPPS project designed to assist political and civil society representatives in Ukraine by bolstering the depth and scope of effective dialogue between supply- and demand-side actors in the Ukrainian political processes. The goal of this solicitation is to identify the best vendor that can gather data and assist IRI in maintaining a descriptive, accurate and diverse information environment that better allows Ukrainian civil and political actors to focus on issue-based and collaborative dialogue to address wartime challenges and also identify priorities ahead of potential early parliamentary elections in the spring of 2023.

IRI Ukraine is seeking to contract a polling company to conduct and analyze one Nationwide Municipal Poll in Ukraine. The results of the survey will be used to increase the awareness of government officials about the needs of ordinary citizens and degree of public satisfaction with the government's work as perceived by residents in these municipalities during wartime and ahead of potential snap elections in the spring of 2023.

### Period of Performance

December 16, 2022, until December 16, 2023.

### Statement of Work

#### OBJECTIVES OF THE RESEARCH

Public opinion data shall be obtained through a survey of select municipalities in Ukraine that is designed to obtain the most accurate possible information about: (a) their positions

and opinions on current political issues, (b) their perceptions of changes in the country, (c) their attitudes towards public figures and services; (d) Attitudes towards war ending / preferred outcomes of the war or victory conditions; (e) Selected look at certain municipal services (water, electricity, Internet, public safety, school); (f) Municipality residents' voting plans for potential upcoming elections.

IRI is open to conducting CAPI/PAPI or CATI and is open to offeror's suggestions.

## **RESEARCH TASKS**

**(1) General Responsibilities of Bidder.** The bidder shall be responsible for the project design and execution, including the following specific tasks:

- (a) translation, formatting, pretesting, adaptation, and printing of questionnaires (PAPI) or programming of questionnaires (CAPI/CATI);
- (b) development of a Sampling Plan, to be based on a multistage stratified plan (PAPI/CAPI) or a CATI sampling methodology (CATI); list and explain any geographic or other exclusions; the proposed Sampling Plan will be reviewed by IRI Office of Research and any further changes agreed upon by IRI and Bidder will be incorporated.
- (c) training supervisors and interviewers.
- (d) arranging and supervising all aspects of fieldwork, including back-checks.
- (e) entering (only if PAPI) and processing the survey data, including data cleaning, and encoding survey responses.
- (f) ascertaining the representativeness of the sample and weighting the data, if necessary.
- (g) Statistical analysis of findings and writing an 3–5-page analytic report to place the findings within the local political and other context.

**(2) Questionnaire.** IRI will provide a draft questionnaire and the bidder will provide input, such as a need for rephrasing certain terms for local context.

IRI requests translation into Ukrainian and Russian. Translations will be reviewed and approved by IRI.

For PAPI, full versions should be printed and interviewers should be allowed to code the questionnaire in the interview language. For CAPI/CATI at least the main interview language must be programmed into CAPI—only programming in English (unless English is the main interview language) is not sufficient. For PAPI/CAPI, the questionnaire may call for showcards, which need to be printed in all translated languages. For PAPI/CAPI, the estimated average interview duration is 40 minutes, and for CATI the estimated average interview duration is 20 minutes. The questionnaire will differ up to 20% between cities, depending on closeness to the front line. Additionally, certain names (e.g. the mayor's) have to be localized to each city.

**3) Sample Design.** IRI requires a sample of adults, age 18 or older and eligible to vote, in as many Ukrainian oblast centers as is feasible, with 800 respondents in each oblast center.

The achieved sample should include 800 CURRENT residents of each oblast center, not the locations where people resided before February 24, 2022.

The number of oblast centers will depend on the security situation and may include all but Donetsk and Luhansk. IRI asks the firm to indicate where it is possible at this time to conduct the survey in each mode. Please use the table below and include it in the proposal:

Oblast Center	PAPI/CAPI accessibility (yes or no) *If only PAPI or only CAPI is available in a location, please specify.	CATI accessibility (yes or no)
Cherkasy		
Chernihiv		
Chernivtsi		
Dnipro		
Ivano-Frankivsk		
Kharkiv		
Kherson		
Khmelnyskyi		
Kyiv		
Kropyvnytskyi		
Lviv		
Mykolaiv		
Odesa		
Poltava		
Rivne		
Sumy		
Ternopil		
Vinnysia		
Lutsk		
Uzhhorod		
Zaporizhzhia		
Zhytomyr		

The sample shall be drawn using recognized probability methods, all the way down to the selection of the individual respondent at the household level. In the event that any departure from probability sampling methods is recommended by the bidder for all or any part of the survey, the sampling methodologies to be employed shall be described in detail and a rationale for their use shall be provided. IRI's general preferred sampling approach for face-to-face surveys is:

1. Stage 1: Equal allocation of 800 interviews to each selected oblast center.
2. Stage 2: PPS selection of clusters within oblast centers as per most recent available municipal level data (e.g., neighborhood etc.)
3. Stage 3: Random selection of Sampling Point (no more than 10 interviews per Sampling Point) within each oblast center.
4. Stage 4: Random route household selection within each Sampling Point. Starting point within Sampling Point ideally randomly determined by satellite map or GPS coordinates.

5. Stage 5: Random selection of respondent within each selected household by KISH grid or last/next birthday method.

A detailed sampling plan (template provided by IRI) shall be submitted to the IRI prior to fieldwork, including a description of the survey coverage, allocation of the sample, description of the sampling frame, description of any stratification criteria to be used, description of the stages of selection and the selection methods to be used at each stage, a definition of the Sampling Points and approximate number of interviews to be conducted within each Sampling Point, the method to be used for selection of the respondent at the household level, an explanation for any divergence from use of the KISH grid for respondent selection, and call-back rules to be employed. It is assumed that the sample will be distributed in proportion to the population of each region or other geographic unit to be surveyed; in the event that the bidder wishes to propose a sample design departing from this principle the proposal should describe the allocation to be used and the reasons for doing so.

For CATI, the sample shall be drawn using recognized probability methods, all the way down to the selection of the individual respondent. In the event that any departure from probability sampling methods is recommended by the bidder for all or any part of the survey, the sampling methodologies to be employed shall be described in detail and a rationale for their use shall be provided. Given the current security situation, IRI is open to considering other telephone sampling methods, including a panel or using a previously-collected bank of telephone numbers, but any proposed methodology must be described in detail and explain how it will achieve the desired result of 800 interviews per oblast center.

IRI's preferred approach to CATI is sampling list-based, provided that recent sampling frame data exists in form of listings of all phone numbers (landline and mobile, incl. of all major mobile phone service providers.) Alternatively, IRI accepts an RDD approach, ideally with some stratification, e.g. by area code. A detailed sampling methodology shall be submitted to the IRI prior to fieldwork, including a description of the survey coverage, allocation of the sample, description of the sampling frame, description of any stratification criteria to be used, description of the stages of selection and the selection methods to be used at each stage, the method to be used for selection of the respondent in case of shared phone numbers, and call-back rules to be employed.

The bidder may also propose a mixed-mode design, with some areas being administered through CATI and others being administered via CAPI/PAPI, if the security situation does not allow for a sufficient number of oblast centers to be conducted in a single mode. If this is proposed, bidder must provide a justification and specify the mode of administration for each sampled location.

**(4) Pre-test.** The questionnaire shall be pre-tested by the bidder prior to the commencement of any fieldwork. No less than 20 pre-test interviews shall be conducted and in surveys with translations into multiple languages, no less than 10 interviews per language. If there are multiple modes, no less than 10 pre-test interviews per mode. The bidder shall provide IRI with a written report of the results of the pre-test, along with details of any problems encountered and suggested remedies, prior to the commencement of any fieldwork.

**(5) Fieldwork.** Interviews shall be conducted by experienced field workers who shall be thoroughly briefed by bidder prior to commencement of any fieldwork. IRI may send a representative to observe training and/or some interviews. The bidder shall ensure that interviewers are thoroughly familiar with household and respondent selection procedures, call back procedures (at least 3 attempts before substituting a selected respondent), and the structure of the questionnaire, including routing and filtering. Interviewer training shall include practice sessions in administering the questionnaire. All interviewers must have at least completed secondary education and must be fully fluent (reading and speaking) in the language(s) in which they are to administer the interviews. No interviewer shall conduct more than 10% of the total interviews, but a 5% upper limit would be preferable. A minimum of 10% of interviews shall be back checked or accompanied by a team supervisor (or live listen, in the case of CATI).

For PAPI/CAPI, the interviews shall be face-to-face, and they shall be conducted in the home of the respondent. IRI prefers questionnaire administration via CAPI but is open to paper-and-pencil questionnaire administration if CAPI use is not feasible or advisable. For CATI, the interviews will be conducted on the phone.

Household and respondent selection must be tracked on a contact tracking sheet or similar—this will be needed to calculate the response rate. Informed consent (oral is acceptable unless country laws require written) must be sought prior to commencing the interview. IRI will provide draft informed consent language to the bidder and this template may be updated by the bidder to conform with the country's legal requirements. For CATI surveys, the bidder will disclose the anticipated response rate during the quotation process. Should this anticipated response rate be less than 50%, the bidder will list in the proposal additional steps that will be taken to boost response rate, e.g. additional call-backs, opening the call-center during additional hours, etc.

**(6) Data Processing.** The bidder shall be responsible for data entry with a 10% double-punch standard (if using paper and pencil questionnaires), cleaning, and processing, including development and implementation of a coding scheme for all open-ended questions. The bidder shall supply data to IRI as a clean, fully labeled in English SPSS "\*.sav" file with a complete data dictionary of variable names and value labels. String is only allowable for open-ended responses without precodes; note that the top 10 responses will need to be coded for such cases. There shall be one data record for each respondent and records shall be of fixed length. The bidder shall be responsible for deriving and applying any post-stratification weights required to bring each oblast center's sample into conformity with the demographic profile of the population of this oblast center, at the very least for gender and age groups, as well as any additional weighting factors required to correct for disproportionate allocation, if use. Ideally, IRI would want education groups included in weights, and if available demographic data allows it, those without formal education will be assigned a separate code from those with at least some primary education. If demographic weights were to fall below 0.3 or above 3.0, this must be discussed in writing with IRI before the weight is applied. IRI has strict upper limits on post-weighting and requires unweighted samples already generally matching the population's rough gender and age distribution. For example, an unweighted sample of 65% male and 35% female would not be accepted, even if corrected with post-weights.

Each record shall include a unique respondent ID number, interviewer ID number, interview duration, Sampling Point ID number for PAPI/CAPI, landline versus mobile phone for CATI, interview start and end times, date of interview, GPS coordinates if using CAPI devices capable of capturing this data, and interview language if there is more than one. Each record shall include demographic information about the respondent, including: gender, exact age, and education level.

**(7) Technical Report.** When delivering data, the bidder shall provide a Technical Report (template provided by IRI) which shall include the following:

- (a) PAPI/CAPI: A complete Sampling Plan, including list of PSUs and individual sampling points and number of interviews conducted at each sampling point. CATI: Complete sampling methodology
- (b) Details of response rates, including tabulation of unsuccessful interview attempts by sampling point, with reasons for non-response (i.e. respondent refusal, proxy refusal, inability to locate selected respondent, etc.).
- (c) A brief report on survey operations including any practical difficulties encountered in carrying out the survey. Fieldwork photos (not showing any respondent faces) would be highly appreciated as well.;
- (d) Estimated sampling error.
- (e) A complete explanation of the weighting scheme including details of how weighting factors were developed and applied, as well as the demographic data on which weights were based (i.e., age, gender, and education distributions in the population);
- (f) any abnormalities encountered during data QC, including but not limited to: suspicious patterns by interviewer ID (e.g. usually fast completion rates), GPS coordinates not matching the selected sampling point, potential contradictions (e.g. respondents who rate a certain politician as highly untrustworthy yet definitely plan on voting for this person), significant data changes of indicators since the previous poll etc.

**(8) Analytical Report.** The bidder will provide an analytical report of 3-5 pages highlighting key findings from the data and placing these findings within the local context. Mere description of data is not sufficient; the report must contain analysis.

**(9) PowerPoint Presentation.** The bidder will provide a presentation with a chart for every survey question. A template (already completed with historic data for trend charts if applicable) will be provided by IRI. Two identical presentations are required: One in English and one in Ukrainian.

**(10) Summary of deliverables.** The Deliverables to be provided to IRI by the bidder are as follows:

- (a) Sampling Plan/sampling methodology
- (b) Pretest report
- (c) Copies of the final questionnaire, as fielded, in English and translations
- (d) A complete data set formatted as SPSS file
- (e) A technical report
- (f) An analytical report
- (g) PowerPoint Presentation

**(11) Delivery Schedule.** Bidder will advise IRI on a feasible timeline for completing work on this project as soon as possible, with the deliverables c-e listed in Section 10 above to be submitted no later than two weeks after the conclusion of fieldwork, and deliverables f-g no later than one week after acceptance of the data by IRI.

Tentative schedule for the Nationwide Municipal poll is as following:

Nº	Field work	Analysis	Release
1.	Mid-February -Mid-March 2023	Mid-March to April 2023	May 2023

### Technical Proposals

All proposals submitted to IRI must include:

1. Information addressing Bidder's experience in providing each of the services identified in the above Statement of Work and Bidder's proposed specific approach for providing those services to IRI under this contract, including sufficient information to determine a clear definition of services as it relates to other providers that may be involved.
2. The name, address, and telephone and facsimile numbers of the Bidder (and electronic address if available). If the Bidder is a firm, name(s) should be provided for individuals authorized to conduct business on behalf of the firm.
3. Bidders may provide a list of up to three references for work performed of a similar nature during the last three years, along with contact details. IRI may contact the references to evaluate past performance.
4. If the Bidder is a U.S. organization/resident, or a foreign organization/resident which has income effectively connected with the conduct of activities in the U.S. or has an office or a place of business or a fiscal paying agent in the U.S., the information submitted must contain Bidder's Taxpayer Identification Number.
5. Proposals will not exceed 5 pages (not including cover page).
6. Proposals should address:
  - a. List surveys with similar specifications previously conducted in this country, with a focus on oblast center polls. Provide dates for past polls cited or separate any polls conducted since Feb 2021 in the list of past polls.
  - b. Explain if planning on using subcontractors for any tasks
  - c. Is bidder able to comply with all requirements listed on the SOW without alterations? If any proposed alterations, explain.
  - d. Coverage, if not 100% of non-institutionalized adults aged 18+:

- e. Describe sampling methodology, including design and the source the sampling frame is drawn from, household selection, respondent selection, number of call-backs
- f. Anticipated response rate
- g. List interview method (F2F Paper and Pencil, CAPI)
- h. Describe Number of Interviewers intended for this project and Interviewer Training (duration, topics etc.)
- i. Describe fieldwork quality control (direct observation, personal backchecks, phone backchecks etc.)
- j. Describe data entry and data checks protocol (e.g. checks for duplicate entries, logic checks etc.)
- k. Please include the oblast center accessibility table with your responses from section 3 of the SOW
- l. Explain how power outages and other wartime challenges will affect your field operation and timeline. Explain whether CAPI is possible under the circumstances and if not, whether pencil and paper is preferable
- m. Explain how you plan to mitigate interview interruptions due to wartime conditions
- n. Describe any measures taken to prevent psychological or physical harm to respondents as a result of their participation in the survey
- o. Share any blackout dates you are aware of
- p. Describe anticipated challenges if any

### Price Proposals

Bidders must propose a firm-fixed Unit Price for each of the deliverables identified below and in the format of the table below. The Bidder’s pricing must be valid for at least 60 (sixty) calendar days after the due date for proposal submission. The proposed Unit Price should be fixed and inclusive of all costs to perform, including inspection services, transportation, taxes, import duties (if any), and other levies. To the extent that a Bidder proposed to include any pricing not reflected in the table below, such pricing must be fully described in the proposal. Proposals must be submitted in USD, payments under any resulting contract will be made in this currency.

Insert cost per deliverable in USD (including VAT)	PAPI/CAPI			CATI		
	Price per unit	# Of units	Total cost (CAPI/PAPI)	Price per unit	# of units	Total cost (CATI)
Sampling Methodology, Pretest Report and Copies of Final Questionnaire w/Translations* (Payment 1)		1			1	



Fieldwork with complete data set and technical report – list price per oblast center** (Payment 2)						
Analytical report and PowerPoint presentation (Payment 3)		1			1	
Total:						

\*The cost for payment 1 may not exceed 30% of the total amount quoted. \*\*The cost for payments 1 and 2 combined may not exceed 70% of the total amount quoted.

Note: It is assumed that the price for payments 1 and 3 will remain the same regardless of the oblast centers that are ultimately included in the sample. For payment 2, it is assumed that this price may vary by oblast center. If so, please include a separate table for payment 2 pricing by oblast center. The number of units for payment 2 should be equal to the number of oblast centers that the bidder is able to conduct fieldwork in and is proposing to include in the sample.

### Evaluation and Award Process

1. IRI may contact any Bidder for clarification or additional information, but Bidders are advised that IRI intends to evaluate the offers based on the written proposals, without discussions, and reserves the right to make decisions based solely on the information provided with the initial proposals. IRI may but is not obligated to conduct additional negotiations with the most highly rated Bidders prior to award of a contract, and may at its sole discretion elect to issue contracts to one or more Bidders.
2. Mathematical errors will be corrected in the following manner: If a discrepancy exists between the total price proposed and the total price resulting from multiplying the unit price by the corresponding amounts, then the unit price will prevail and the total price will be corrected. If there is a discrepancy between the numbers written out in words and the amounts in numbers, then the amount expressed in words will prevail. If the Bidder does not accept the correction, the offer will be rejected.
3. IRI may determine that a proposal is unacceptable if the prices proposed are materially unbalanced between line items or sub-line items. Unbalanced pricing exists when, despite an acceptable total evaluated price, the price of one or more contract line items is significantly overstated or understated as indicated by the application of cost or price analysis techniques. A proposal may be rejected if IRI determines that the lack of balance poses an unacceptable risk.
4. IRI will conduct a source selection based as follows:  
IRI intends to make an award to the responsible Bidder based on the following evaluation factors:
  - a) Technical evaluation, (including technical capabilities, proposed technical approach, and personnel qualifications) – 40 percent
    - i. *Sampling methodology – 20 Percent*
    - ii. *Capacity to perform scope of work– 10 Percent*
    - iii. *Ability to perform fieldwork during wartime conditions– 10 Percent*

b) Past performance and experience in performing similar projects – 30 percent

i. *Experience conducting face to face polling and/or CATI polling in Ukraine- 30 Percent*

c) Price – 30 percent

IRI intends to evaluate Bidders' proposals in accordance with these factors and make an award to the responsible Bidder whose proposal is most advantageous to the program.

5. If a cost realism analysis is performed, cost realism may be considered in evaluating performance or price.

### **Submission Instructions**

Bids must be submitted via email to Lesia Khomenko, at [lkhomenko@iri.org](mailto:lkhomenko@iri.org) with the subject line EURASIA2022U15o by the deadline listed above.

### **RFP Terms and Conditions**

1. Prospective Bidders are requested to review clauses incorporated by reference in the section "Notice Listing Contract Clauses Incorporated by Reference".
2. IRI may reject any or all proposals if such is within IRI's interest.
3. Proof of costs incurred, such as but not limited to receipts, pictures and financial documents, may be requested during and for up to three years after the end of the contract period.
4. The Bidder's initial proposal should contain the Bidder's best offer.
5. Payment will be made upon receipt of invoices and deliverables/services.
6. Discussions with Bidders following the receipt of a proposal do not constitute a rejection or counteroffer by IRI.
7. IRI will hold all submissions as confidential and submissions shall not be disclosed to third parties. IRI reserves the right to share proposals internally, across divisions, for the purposes of evaluating the proposals.
8. For any currency conversion, the exchange rate to US Dollars listed on [oanda.com](http://oanda.com) on the closing date of this solicitation shall be used.
9. Every contract will contain provisions governing termination for cause and termination for convenience.
10. By submitting a proposal, offeror agrees to comply with all terms, conditions, and provisions included in the solicitation and agreement to the services identified above, and will specifically identify any disagreement with or exceptions to the terms, conditions, and provisions.
11. Offerors confirm that the prices in the proposal/proposal/application/quote have been arrived at independently, without any consultation, communication, or agreement with any other Bidder or competitor for the purpose of restricting competition.
12. By applying to this RFP, applicant is certifying that if it is awarded a contract, none of funds payable under the resulting contract will be used to (1) procure or obtain, extend or renew a contract to procure or obtain; (2) enter into a contract (or extend or renew a contract) to procure; or (3) obtain the equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system in

compliance with the National Defense Authorization Act. Covered telecommunications equipment and services mean any of the following:

- i. Telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).
- ii. For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).
- iii. Telecommunications or video surveillance services provided by such entities or using such equipment.
- iv. Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country. ([2 CFR 200.216](#)).

13. Bidders agree to disclose as part of the proposal submission:

- Any close, familial, or financial relationships with IRI staff and agents. For example, the Bidder must disclose if a Bidder's mother conducts volunteer trainings for IRI.
- Any family or financial relationship with other Bidders submitting proposals. For example, if the Bidder's father owns a company that is submitting another proposal, the Bidder must state this.
- Any other action that might be interpreted as potential conflict of interest.

### **Notice Listing Contract Clauses Incorporated by Reference**

IRI is required to make the contractor subject to certain flowdown clauses of the prime award. This awarded contract will incorporate one or more clauses by reference, with the same force and effect as if they were given in full text. Where "flow-down" to the contractor is applicable, references to "USAID/Department of State" shall be interpreted to mean "IRI", "Recipient" to mean "Contractor", and "Subrecipient" to mean "lower-tier subcontractor." Included by reference are the applicable provisions contained in Appendix II to 2 CFR Part 200 and USAID Standard Provisions for Non-US Non-governmental Organizations/US Department of State Standard Terms and Conditions.

### **IRI Obligations**

Issuance of this RFP does not constitute an award commitment on the part of IRI, nor does it commit IRI to pay for costs incurred in the preparation and submission of a proposal or quotation.

### **Required Certifications**

The following certificates need to be signed by all Bidders. These certifications are an integral part of the quotation/proposal. Please print them off and send back to us with your proposal after signature on each certificate. They are:

- Certification regarding debarment, suspension, ineligibility and voluntary exclusion lower tier covered transactions

- Authorized Individuals
- Certification Regarding Lobbying

## **CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION LOWER TIER COVERED TRANSACTIONS**

This certification implements Executive Order 12549, Debarment and Suspension and the requirements set forth in 2.C.F.R. 180, Subpart C.”

Copies of the regulations may be obtained by contacting the person to which this proposal is submitted.

1. By signing and submitting this proposal/application/quote, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal/application/quote is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal/application/quote that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the IRI.
6. The prospective lower tier participant further agrees by submitting this proposal/application/quote that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 above, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a

person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for disbarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title/Position: \_\_\_\_\_  
Entity Name: \_\_\_\_\_  
Address: \_\_\_\_\_

## Authorized Individuals

The offeror/bidder/applicant represents that the following persons are authorized to negotiate on its behalf with IRI and to bind the recipient in connection with this procurement:

Name	Title	Telephone	Email

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name: \_\_\_\_\_

Title/Position: \_\_\_\_\_

Entity Name: \_\_\_\_\_

## CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal Cooperative Agreement, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned must complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
3. The undersigned must require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients must certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, United States Code. Any person who fails to file the required certification will be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title/Position: \_\_\_\_\_  
Entity Name: \_\_\_\_\_  
Address: \_\_\_\_\_



**EXPERT RATE INFORMATION**

Name <i>(Last, First, Middle)</i>	Proposed Rate:	Daily	Hourly
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Rates should be given for the last three (3) years. If employment history/salary information is applicable, list salaries separate for each year. If expert services is applicable, indicate the type of rate daily/hourly.

**EMPLOYMENT HISTORY - SALARY**

POSITION TITLE	EMPLOYER'S NAME AND ADDRESS POINT OF CONTACT & TELEPHONE #	Employment Period (M/D/Y)		Annual Salary <sup>1</sup> U.S. Dollars
		From	To	

**SPECIFIC EXPERT SERVICES**

SERVICES PERFORMED/TITLE	CLIENTS NAME AND ADDRESS POINT OF CONTACT & TELEPHONE #	Service Period (M/D/Y)		Units at Rate	Daily/Hourly Rate <sup>2</sup> In U.S. Dollars
		From	To		

**CERTIFICATION:** To the best of my knowledge, the above facts as stated are true and correct.

Signature	Date
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<sup>1</sup> Basic periodic payment for services rendered. Exclude bonuses, profit-sharing arrangements, commissions, consultant fees extra or overtime work payments, overseas differential or quarters, cost of living or dependent education allowances.

<sup>2</sup> A form of management fee whereby the client pays a set fee for providing professional services. Exclude cost reimbursements, bonuses, overtime work payments, overseas differential or quarters, cost of living, and any other allowances.